

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---------------------------------|---|------------------------|
| In re Patent Application of |) | |
| |) | |
| Suk-Hyung Kwon et al. |) | Group Art Unit: 1632 |
| |) | |
| Application No.: 10/580,469 |) | Examiner: Unassigned |
| |) | |
| Filed: May 25, 2006 |) | Confirmation No.: 1471 |
| |) | |
| For: COMPOSITION FOR PREVENTING |) | |
| AND TREATING CLIMACTERIC |) | |
| SYMPTOMS COMPRISING THE |) | |
| EXTRACT OF SOPHORAE FRUCTUS |) | |

SECOND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

On January 26, 2007, Applicants filed a Request for Corrected Official Filing Receipt and a Request for Corrected Notice of Recordation of Assignment Document. Copies of the as-filed documents, along with the date-stamped copy of the postcard receipt for such filing are being submitted herewith.

While the Notice of Recordation of Assignment document was corrected on February 2, 2007, the error in the Official Filing Receipt has still not been addressed.

In particular, under the heading "Assignment for Published Patent Application," the Assignee needs to be corrected to read as follows: **--REXGENEBIOTECH CO., LTD.--**.


Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: October 27, 2009

By:


Susan M. Dadio
Registration No. 40373

Customer No. 21839
703 836 6620

Patent Response Postcard

45

Inventor: Suk-Hyung Kwon et al. Appln. No.: 10/580,469 Filing Date: May 25, 2006
 Docket No.: 1012679-000119 Working Atty.: SMD/jamyn Date: January 26, 2007
 Title: COMPOSITION FOR PREVENTING AND TREATING CLIMACTERIC SYMPTOMS COMPRISING THE EXTRACT OF SOPHORAE FRUCTUS

Dkt. Clerk Initials



The following was/were received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | | |
|---|--|--|
| <ul style="list-style-type: none"> <input type="checkbox"/> Amendment or Response <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Amendment/Reply Transmittal Letter <input type="checkbox"/> Petition for _____ Month Extension of Time <input type="checkbox"/> Submission of Formal Drawings w/ _____ sheet(s) of drawings (Fig(s). 1- _____) <input type="checkbox"/> Request for Approval of Drawing Changes w/ _____ sheet(s) of red ink drawings <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Request for Oral Hearing <input type="checkbox"/> Reply Brief <input type="checkbox"/> Response to Restriction Requirement or Election of Species <input type="checkbox"/> Terminal Disclaimer | <ul style="list-style-type: none"> <input type="checkbox"/> Certificate Under 37 C.F.R. 3.73(b) <input type="checkbox"/> Transmittal Letter for Missing Parts of Application <input type="checkbox"/> Executed Declaration/Power of Attorney <input type="checkbox"/> Assignment/Assignment Recordation Form Cover Sheet (PTO-1595) <input type="checkbox"/> Submission of Certified Copy of Priority Document w/ _____ certified copy(ies) <input type="checkbox"/> Information Disclosure Statement Transmittal <input type="checkbox"/> Information Disclosure Citation (PTO-1449) <input type="checkbox"/> Information Disclosure Statement w/ _____ document(s) <input type="checkbox"/> Request for Continued Examination <input type="checkbox"/> Issue Fee Transmittal <input checked="" type="checkbox"/> Request for Corrected Notice of Recordation of Assignment w/Copy of Notice <input checked="" type="checkbox"/> Request for Corrected Filing Receipt w/copy of Official Filing Receipt | <ul style="list-style-type: none"> <input type="checkbox"/> Status Inquiry <input type="checkbox"/> Request for Official Filing Receipt <input type="checkbox"/> Submission of Corrected Drawings <input type="checkbox"/> Payment of Issue Fee and Authorization to charge Deposit Account <input type="checkbox"/> Request for Refund <input type="checkbox"/> Supplemental Application Data Sheet <input type="checkbox"/> Substitute Specification (clean/marked up copies) <input type="checkbox"/> Charge \$ _____ to Deposit Account <input type="checkbox"/> Charge \$ _____ to credit card. Form PTO-2038 is attached <input type="checkbox"/> Filed by Certificate of Mail |
|---|--|--|



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Patent Application of |) | |
| Suk-Hyung Kwon et al. |) | Group Art Unit: 1632 |
| Application No.: 10/580,469 |) | Examiner: Unassigned |
| Filed: May 25, 2006 |) | Confirmation No.: 1471 |
| For: COMPOSITION FOR PREVENTING |) | |
| AND TREATING CLIMACTERIC |) | |
| SYMPTOMS COMPRISING THE |) | |
| EXTRACT OF SOPHORAE FRUCTUS |) | |

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show correction that is needed. The correction is as follows:

Under the heading "Assignment for Published Patent Application," please correct the Assignee to read as follows: **--REXGENEBIOTECH CO., LTD.--**.

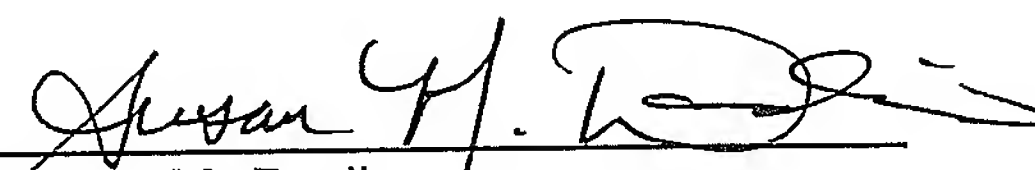
Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

-----BUCHANAN INGERSOLL & ROONEY PC

Date: January 26, 2007

By:


Susan M. Dadio
Registration No. 40373

P.O. Box 1404
Alexandria, VA 22313-1404
703 836 6620



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/580,469 | 05/25/2006 | 1632 | 550 | 1012679-000119 | 21 | 13 | 4 |

CONFIRMATION NO. 1471

21839

BUCHANAN, INGERSOLL & ROONEY PC
 POST OFFICE BOX 1404
 ALEXANDRIA, VA 22313-1404

FILING RECEIPT



OC000000021883670

Date Mailed: 01/10/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Suk-Hyung Kwon, Seoul, KOREA, REPUBLIC OF;
 Bo-Sik Hwang, Gyeonggi-do, KOREA, REPUBLIC OF;
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 Seong-Soo Joo, Gyeonggi-do, KOREA, REPUBLIC OF;
 Tae-Joon Won, Seoul, KOREA, REPUBLIC OF;
 Hee-Chul Kang, Seoul, KOREA, REPUBLIC OF;

Assignment For Published Patent Application

~~DREXGENEBIOTECH CO., LTD.~~, Chungcheongbuk-do, KOREA, REPUBLIC OF
REXGENEBIOTECH

Power of Attorney: The patent practitioners associated with Customer Number **21839**.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR04/00251 02/09/2004

Foreign Applications

REPUBLIC OF KOREA 10-2003-0084329 11/26/2003

If Required, Foreign Filing License Granted: 01/06/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/580,469**

Projected Publication Date: 04/19/2007

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Composition for preventing and treating climacteric symptoms comprising the extract of sophorae fructus

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---------------------------------|---|------------------------|
| In re Patent Application of |) | MAIL STOP: ASSIGNMENT |
| Suk-Hyung Kwon et al. |) | RECORDATION SERVICES |
| Application No.: 10/580,469 |) | Group Art Unit: 1632 |
| Filed: May 25, 2006 |) | Examiner: |
| For: COMPOSITION FOR PREVENTING |) | Confirmation No.: 1471 |
| AND TREATING CLIMACTERIC |) | |
| SYMPTOMS COMPRISING THE |) | |
| EXTRACT OF SOPHORAE FRUCTUS |) | |

**REQUEST FOR CORRECTED NOTICE OF
RECORDATION OF ASSIGNMENT DOCUMENT**

Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Upon review of the Notice of Recordation of Assignment Document in connection with the above-identified application, the undersigned has noted the following error(s) on the part of the U.S. Patent and Trademark Office:

Under the heading "Filing Date," please insert --May 25, 2006--.

Enclosed is a copy of the original Notice of Recordation of Assignment Document with corrections marked in red. It is respectfully requested that the above correction(s) be made and that a corrected Notice of Recordation of Assignment Document be issued.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: January 26, 2007

By: 
Susan M. Dadio
Registration No. 40373

P.O. Box 1404
Alexandria, VA 22313-1404
703 836 6620



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 17, 2006

PTAS

BUCHANAN, INGERSOLL & ROONEY PC
POST OFFICE BOX 1404
ALEXANDRIA, VA 22313-1404



103249696A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/25/2006

REEL/FRAME: 017943/0835
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 1012679-000119

ASSIGNOR:
KWON, SUK-HYUNG

DOC DATE: 04/13/2006

ASSIGNOR:
HWANG, BO-SIK

DOC DATE: 04/13/2006

ASSIGNOR:
KIM, KUK-HWAN

DOC DATE: 04/13/2006

ASSIGNOR:
LEE, SEUNG-HWAN

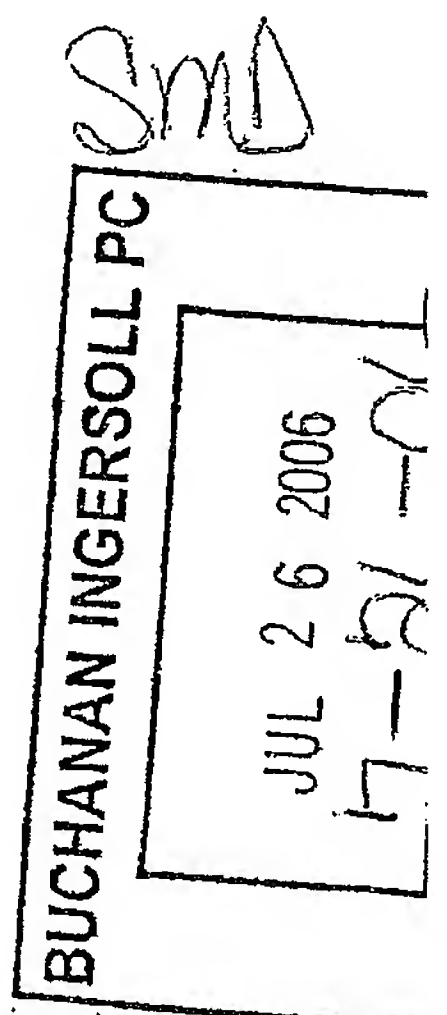
DOC DATE: 04/13/2006

ASSIGNOR:
YOON, EUN-JU

DOC DATE: 04/13/2006

ASSIGNOR:
LEE, SEUNG-HEE

DOC DATE: 04/13/2006



017943/0835 PAGE 2

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LEE, MIN-WON

DOC DATE: 04/13/2006

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JOO, SEONG-SOO

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ASSIGNOR:
WON, TAE-JOON

DOC DATE: 04/13/2006

ASSIGNOR:
KANG, HEE-CHUL

DOC DATE: 04/13/2006

ASSIGNEE:
REXGENEBIOTECH CO., LTD.
344, DAEYA-RI, SAMSUNG-MYEON,
EUMSUNG-GUN, CHUNGCHEONGBUK-DO
, REPUBLIC OF KOREA 369-831

SERIAL NUMBER: 10580469

FILING DATE: 05/26/06
ISSUE DATE:

PATENT NUMBER:

TITLE: COMPOSITION FOR PREVENTING AND TREATING CLIMACTERIC SYMPTOMS
COMPRISING THE EXTRACT OF SOPHORAE FRUCTUS

VIOLET MCCOY, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION